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### PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/749,125 TRANSMITTAL **Filing Date** Dec 30, 2003 **FORM** Swedberg **First Named Inventor Group Art Unit** (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number MS1-1750US Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group **Appeal Communication to Board** Fee Attached Sheets Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) **Provisional Application Status Letter** Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Return Receipt Postcard Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) \_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or John E. Whitaker Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 03/08/04 Wendy A. Saxby Typed or printed name Date Signature

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

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Application Number	10/749,125	
Filing Date	Dec 30, 2003	
First Named Inventor	Swedberg	
Examiner Name		
Art Unit		
Attorney Docket No.	MS1-1750US	

METHOD OF PAYMENT (che	FEE CALCULATION (continued)								
Check Credit card Money	Other None	3. ADDITIONAL FEES							
Deposit Account:		<u>Large</u>	Large Entity   Small Entity						
Deposit		Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account 12-076	9	1051	130	2051		Surcharge - late filing fee or oath	ree raiu		
Deposit Loo & House	PLLC	1052	50	2052		Surcharge - late provisional filing fee or			
Name Lee & Flayes	, 1 220	1053	130	1053		cover sheet Non-English specification			
The Director is authorized to: (check all that	, , , , , , , , , , , , , , , , , , , ,		2,520			For filing a request for ex parte reexamination			
	Credit any overpayments	1804	920*		_	Requesting publication of SIR prior to			
Charge any additional fee(s) or any under			·			Examiner action			
Charge fee(s) indicated below, except for to the above-identified deposit account.	r the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	ON	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE	ON	1252	420	2252	210	Extension for reply within second month			
Large Entity Small Entity		1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee Fee Description Code (\$) Code (\$)	on Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
1001 770 2001 385 Utility filing fe	ee —	1255	2,010	2255	1,005	Extension for reply within fifth month			
1002 340 2002 170 Design filing		1401	330	2401	165	Notice of Appeal			
1003 530 2003 265 Plant filing fe		1402	330	2402		Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing		1403	290	2403	145	Request for oral hearing			
1005 160 2005 80 Provisional fi	iling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1	<b>(\$)</b> 0	1452	110	2452	55	Petition to revive - unavoidable			
		1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTIL	.IIY AND REISSUE Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)			
Total Claims  -20** =		1502	480	2502	240	Design issue fee			
Independent	×	1503	640	2503	320	Plant issue fee			
Claims - 3** = ; Multiple Dependent	X	1460	130	1460	130	Petitions to the Commissioner	]		
		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity   Small Entity   Fee Desc	cription	1806	180	1806	180	Submission of Information Disclosure Stmt			
Code (\$)		8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in ex		1809	770	2809	385	Filing a submission after final rejection			
·	t claims in excess of 3					(37 ČFR 1.129(a))			
	endent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
	ndependent claims nal patent	1801	770	2801	385				
	claims in excess of 20 original patent	1802	900	1802	900				
SUBTOTAL (2)	(\$) <sup>0</sup>	Other	fee (sp	ecify) _					
**or number previously paid, if greater; Fo		*Redu	iced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$) 0			
SUBMITTED BY									

SUBMITTED BY Registration No. John E. Whitaker 42,222 Name (Print/Type) Telephone (206) 315-4001 (Attorney/Agent) Signature Date

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# MAR 1 2004 BY Serial No.

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## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	
Filing Date	
Inventorship	Swedberg et al.
Applicant	Microsoft Corporation
Attorney's Docket No.	MS1-1750US
Title: INTEROPERABILITY BETWEEN IMMEDIA	TEMODE AND
COMPOSITIONAL MODE WINDOWS	

### <u>INFORMATION DISCLOSURE STATEMENT</u>

References -- See Attached Form PTO-1449

### **REMARKS**

The citations listed are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Date: 3/9/04

Respectfully Submitted,

Jøhn E. Whitaker

Reg. No. 42,222

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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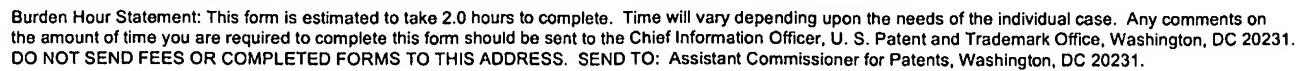
Complete if Known					
Application Number	10/749,125				
Filing Date	Dec 30, 2003				
First Named Inventor	Swedberg				
Group Art Unit					
Examiner Name					
Attorney Docket Number	MS1-1750US				

	U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Number	Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines. Where Relevant Passages or Relevant Figures Appear			
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No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	76
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	Cite No.1	Cite	Cite	Cite Foreign Patent Document  Kind Code <sup>5</sup>	Cite Kind Code <sup>5</sup> Name of Patentee or	Cite No.1  Applicant of Cited Document  Cited Document	Foreign Patent Document  Cite No.1 Office3  Number4  No.1  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  Applicant of Cited Document  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  No.1  Name of Patentee or Applicant of Cited Document  Name of Patentee or Applicant of Cit

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.





<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.